

11132 U.S. PTO

UTILITY PATENT APPLICATION TRANSMITTAL

Attorney Docket No. 2314-224-II

First Inventor Baldomero M. OLIVERA

Title LINEAR  $\gamma$ -CARBOXYGLUTAMATE RICH CONOTOXINS

Assignee Information: University of Utah Research Foundation (Salt Lake City, Utah) Cognetix, Inc. (Salt Lake City, Utah)

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO: Assistant Commissioner of Patents Box Patent Application Washington, D.C. 20231

1. ☐ Fee Transmittal Form (Submit an original, and a duplicate for fee processing)

2. ☒ Applicant claims small entity status.

3. ☒ Specification Total pages [ 52 ] (preferred arrangement set forth below)

- Descriptive title of the invention
- Cross references to Related Applications
- Statement Regarding Fed sponsored R&D
- Reference to sequence listing, a table or a computer program listing appendix
- Background of the Invention
- Brief Summary of the Invention
- Brief Description of the Drawings
- Detailed Description
- Claims
- Abstract of the Disclosure

4. ☐ Drawing(s) (35 USC 113) (Total Sheets) [ ]

5. ☐ Oath or Declaration (Total Pages) [ ]

- a. ☐ Newly executed (original or copy)
- b. ☐ Copy from a prior application (37 CFR 1.63(d) (for continuation/divisional with Box 17 completed)

[Note Box 5 below]

i. ☐ DELETION OF INVENTOR(S) Statement attached deleting inventor(s) named in the prior application,

6. ☒ Application Data Sheet.

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program

8. Nucleotide and/or Amino Acid Sequence Submission

- a. ☒ Computer Readable Form (CRF)
- b. Specification Sequence Listing on:
  - i. ☐ CD-ROM or CD-R (2 copies); or
  - ii. ☒ paper (61 pages)
- c. ☒ Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment Papers (cover sheet & document(s))

10. ☐ 37 CFR 3.73(b) Statement (when there is an assignee)

☐ Power of Attorney

11. ☐ English Translation Document (if applicable)

12. ☐ Information Disclosure Statement /PTO 1449

☐ Copies of IDS Citations

13. ☐ Preliminary Amendment

14. ☒ Return Receipt Postcard (MPEP 503) (Should be specifically itemized)

15. ☐ Certified Copy of Priority Document(s).

16. ☐ Request and Certification under 35 U.S.C. 122(b)(2)(B)(i).

17. ☐ Other:

17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information below or in an application Data Sheet under 37 CFR 1.76::

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.: Prior application information: Examiner Group/Art Unit

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

☒ Customer Number or Bar Code Label 6449

Address Rothwell, Figg, Ernst & Manbeck Suite 800, 1425 K Street, N.W.

City Washington State D.C. Zip Code 20005

Country U.S.A. Telephone 202-783-6040 Fax 202-783-6031

Name Jeffrey L. Ihnen, Reg. No. 28,957

Signature Date 7 March 2002


<b>IN THE UNITED STATES PATENT AND TRADEMARK OFFICE</b>	<i>Application Number</i>	(to be assigned)
	<i>Filing Date</i>	07 March 2002
	<i>First Named Inventor</i>	Baldomero M. OLIVERA
	<i>Group Art Unit</i>	
	<i>Examiner Name</i>	
	<i>Attorney Docket No.</i>	2314-224-II
Title of the Invention: <b>LINEAR <math>\gamma</math>-CARBOXYGLUTAMATE RICH-CONOTOXINS</b>		

### STATEMENT PURSUANT TO 37 CFR 1.821(f)

Assistant Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

In the matter of the above-identified application, Applicants submit herewith a computer disk containing the Sequence Listing. It is hereby certified that the content of the paper and computer copies of said Sequence Listing are identical.

<b>RESPECTFULLY SUBMITTED,</b>					
NAME AND REG. NUMBER	Jeffrey L. Ihnen, Reg. No. 28,957				
SIGNATURE				DATE	07 MARCH 2002
Address	ROTHWELL, FIGG, ERNST & MANBECK, pc Suite 800, 1425 K Street, N.W.				
City	Washington	State	D.C.	Zip Code	20005
Country	U.S.A.	Telephone	202-783-6040	Fax	202-783-6031